

APPENDIX: PROOFS OF COMPUTATIONAL STATEMENTS

Lemma (4.4). For $n \geq 3$ and $k \leq n$, the \mathcal{G}_{n-1} invariants $S_{n,k}$ transform under T according to

$$S_{n,k}(Tu) = \sum_{\ell=0}^k (-1)^\ell \binom{n-k+\ell}{n-k} u_1^\ell S_{n,k-\ell}.$$

Proof. The argument is induction on n . Note first that

$$S_{n,0} = 1$$

satisfies the identity trivially for all n . At the other extreme,

$$S_{n,n} = 0$$

also satisfies the statement. To see this, examine

$$\sum_{\ell=0}^n (-1)^\ell \binom{\ell}{0} u_1^\ell S_{n,n-\ell} = \sum_{\ell=0}^n (-1)^\ell u_1^\ell S_{n,n-\ell} = -u_1 \sum_{m=0}^{n-1} (-1)^m u_1^m S_{n,n-1-m}.$$

For the final equality, use $S_{n,n} = 0$ and set $\ell = m + 1$. By substituting x for the variable u_1 that appears explicitly, the sum factors:

$$\sum_{m=0}^{n-1} (-1)^m x^m S_{n,n-1-m} = \prod_{k=1}^{n-1} (u_k - x).$$

Consequently, it vanishes when $x = u_1$.

For the base $n = 3$,

$$\begin{aligned} S_{3,1}(Tu) &= -u_1 + (u_2 - u_1) \\ &= (u_1 + u_2) - 3u_1 \\ &= S_{3,1} - 3u_1 \end{aligned}$$

and

$$\begin{aligned} S_{3,2}(Tu) &= (-u_1)(u_2 - u_1) \\ &= u_1 u_2 - 2(u_1^2 + u_1 u_2) + 3u_1^2 \\ &= S_{3,2} - 2u_1 S_{3,1} + 3u_1^2. \end{aligned}$$

To make the inductive step, use the reduction

$$S_{n+1,k} = S_{n,k} + u_n S_{n,k-1}$$

and assume the claim holds for $S_{n,k}$ and $S_{n,k-1}$. (Note that the cases $k = n$ and $k = 1$ fall under the scope of the remarks above.) Thus,

$$\begin{aligned} S_{n+1,k}(Tu) &= S_{n,k}(Tu) + (u_n - u_1) S_{n,k-1}(Tu) \\ &= \sum_{\ell=0}^k (-1)^\ell \binom{n-k+\ell}{n-k} u_1^\ell S_{n,k-\ell} \end{aligned}$$

$$\begin{aligned}
& - \sum_{m=0}^{k-1} (-1)^m \binom{n - (k-1) + m}{n - (k-1)} u_1^{m+1} S_{n, k-1-m} \\
& + \sum_{p=0}^{k-1} (-1)^p \binom{n - (k-1) + p}{n - (k-1)} u_1^p u_n S_{n, k-1-p} \\
& = S_{n, k} + \sum_{\ell=1}^k (-1)^\ell \binom{n - k + \ell}{n - k} u_1^\ell S_{n, k-\ell} \\
& + \sum_{m=0}^{k-1} (-1)^{m+1} \binom{n - k + (m+1)}{n - k + 1} u_1^{m+1} S_{n, k-(m+1)} \\
& + u_n S_{n, k-1} + \sum_{p=1}^{k-1} (-1)^p \binom{n+1 - k + p}{n+1 - k} u_1^p u_n S_{n, k-p-1}.
\end{aligned}$$

Setting $m = \ell - 1$ and $p = \ell$ gives

$$\begin{aligned}
S_{n+1, k}(Tu) & = S_{n, k} + u_n S_{n, k-1} \\
& + \sum_{\ell=1}^k (-1)^\ell \binom{n - k + \ell}{n - k} u_1^\ell S_{n, k-\ell} \\
& + \sum_{\ell=1}^k (-1)^\ell \binom{n - k + \ell}{n - k + 1} u_1^\ell S_{n, k-\ell} \\
& + \sum_{\ell=1}^{k-1} (-1)^\ell \binom{n+1 - k + \ell}{n+1 - k} u_1^\ell u_n S_{n, k-\ell-1} \\
& = S_{n+1, k} + \sum_{\ell=1}^k (-1)^\ell \left(\binom{n - k + \ell}{n - k} + \binom{n - k + \ell}{n - k + 1} \right) u_1^\ell S_{n, k-\ell} \\
& + \sum_{\ell=1}^{k-1} (-1)^\ell \binom{n+1 - k + \ell}{n+1 - k} u_1^\ell u_n S_{n, k-\ell-1} \\
& = S_{n+1, k} + \sum_{\ell=1}^k (-1)^\ell \binom{n+1 - k + \ell}{n+1 - k} u_1^\ell S_{n, k-\ell} \\
& + \sum_{\ell=1}^{k-1} (-1)^\ell \binom{n+1 - k + \ell}{n+1 - k} u_1^\ell u_n S_{n, k-\ell-1} \\
& = S_{n+1, k} + \sum_{\ell=1}^{k-1} (-1)^\ell \binom{n+1 - k + \ell}{n+1 - k} u_1^\ell (S_{n, k-\ell} + u_n S_{n, k-\ell-1}) \\
& + (-1)^k \binom{n+1}{n+1 - k} u_1^k
\end{aligned}$$

$$\begin{aligned}
&= S_{n+1,k} + \sum_{\ell=1}^{k-1} (-1)^\ell \binom{n+1-k+\ell}{n+1-k} u_1^\ell S_{n+1,k-\ell} + (-1)^k \binom{n+1}{n+1-k} u_1^k \\
&= \sum_{\ell=0}^k (-1)^\ell \binom{(n+1)-k+\ell}{(n+1)-k} u_1^\ell S_{n+1,k-\ell}.
\end{aligned}$$

□

Lemma (4.6).

$$\sum_{k=0}^m (-1)^k \frac{k+1}{(k+3)!(m-k)!} = \frac{m+1}{(m+3)!}.$$

Proof. Consider the expansion of the generating function

$$\begin{aligned}
\frac{(1-x)^{m+3}}{x^2} &= \sum_{\ell=0}^{m+3} (-1)^\ell \binom{m+3}{\ell} x^{\ell-2} \\
&= x^{-2} - (m+3)x^{-1} + \binom{m+3}{2} + \sum_{\ell=3}^{m+3} (-1)^{\ell-2} \binom{m+3}{\ell} x^{\ell-2} \\
&= x^{-2} - (m+3)x^{-1} + \binom{m+3}{2} + \sum_{k=0}^m (-1)^{k+1} \binom{m+3}{k+3} x^{k+1}.
\end{aligned}$$

Now, differentiate and evaluate at $x = 1$:

$$\begin{aligned}
\left. \frac{d}{dx} \left(\frac{(1-x)^{m+3}}{x^2} \right) \right|_{x=1} &= -2 + m + 3 + \sum_{k=0}^m (-1)^{k+1} (k+1) \binom{m+3}{k+3} \\
0 &= m + 1 - (m+3)! \sum_{k=0}^m (-1)^k \frac{k+1}{(k+3)!(m-k)!}.
\end{aligned}$$

Rearranging this equation yields the desired statement. □

Lemma (4.9).

$$\sum_{k=0}^m \frac{k+1}{k+3} \binom{m+2}{k+2} u_1^{m-k} (u_2 - u_1)^{k+3} = \frac{m+1}{m+3} (u_2^{m+3} - u_1^{m+3}) - u_1 u_2 (u_2^{m+1} - u_1^{m+1}).$$

Proof. Letting $u = u_1$ and $v = u_2 - u_1$,

$$\begin{aligned}
\sum_{k=0}^m \frac{k+1}{k+3} \binom{m+2}{k+2} u_1^{m-k} (u_2 - u_1)^{k+3} &= \frac{1}{m+3} \sum_{k=0}^m (k+1) \binom{m+3}{k+3} u_1^{m-k} v^{k+3} \\
&= \frac{1}{m+3} \left(\sum_{k=0}^m (k+4) \binom{m+3}{k+3} u_1^{(m+3)-(k+3)} v^{k+3} - 3 \sum_{k=0}^m \binom{m+3}{k+3} u_1^{(m+3)-(k+3)} v^{k+3} \right)
\end{aligned}$$

$$\begin{aligned}
&= \frac{1}{m+3} \left(\sum_{p=3}^{m+3} (p+1) \binom{m+3}{p} u^{(m+3)-p} v^p - 3 \sum_{p=3}^{m+3} \binom{m+3}{p} u^{(m+3)-p} v^p \right) \\
&= \frac{1}{m+3} \left(\sum_{p=0}^{m+3} (p+1) \binom{m+3}{p} u^{(m+3)-p} v^p - 3 \sum_{p=0}^{m+3} \binom{m+3}{p} u^{(m+3)-p} v^p \right. \\
&\quad \left. - u^{m+3} - 2(m+3)u^{m+2}v - 3 \binom{m+3}{2} u^{m+1}v^2 \right. \\
&\quad \left. + 3u^{m+3} + 3(m+3)u^{m+2}v + 3 \binom{m+3}{2} u^{m+1}v^2 \right) \\
&= \frac{1}{m+3} \left(\sum_{p=0}^{m+3} (p+1) \binom{m+3}{p} u^{(m+3)-p} v^p - 3 \sum_{p=0}^{m+3} \binom{m+3}{p} u^{(m+3)-p} v^p \right. \\
&\quad \left. + 2u^{m+3} + (m+3)u^{m+2}v \right).
\end{aligned}$$

The second sum amounts to the binomial expansion of $(u+v)^{m+3} = u_2^{m+3}$ while the first sum is the v -derivative of $(u+v)^{m+3}v$. In explicit terms, note that

$$\sum_{p=0}^{m+3} \binom{m+3}{p} u^{(m+3)-p} v^{p+1} = (u+v)^{m+3}v.$$

Hence,

$$\begin{aligned}
\frac{\partial}{\partial v} \left(\sum_{p=0}^{m+3} \binom{m+3}{p} u^{(m+3)-p} v^{p+1} \right) &= \frac{\partial}{\partial v} ((u+v)^{m+3}v) \\
\sum_{p=0}^{m+3} (p+1) \binom{m+3}{p} u^{(m+3)-p} v^p &= (u+v)^{m+3} + (m+3)(u+v)^{m+2}v.
\end{aligned}$$

Substituting into the expression above and reverting to u_1 and u_2 ,

$$\begin{aligned}
&\sum_{k=0}^m \frac{k+1}{k+3} \binom{m+2}{k+2} u_1^{m-k} (u_2 - u_1)^{k+3} \\
&= \frac{1}{m+3} \left(u_2^{m+3} + (m+3)u_2^{m+2}(u_2 - u_1) - 3u_2^{m+3} + 2u_1^{m+3} + (m+3)u_1^{m+2}(u_2 - u_1) \right) \\
&= \frac{m+1}{m+3} (u_2^{m+3} - u_1^{m+3}) - u_1 u_2 (u_2^{m+1} - u_1^{m+1}).
\end{aligned}$$

□

Lemma (4.13).

$$\sum_{p=0}^m (-1)^p \frac{n-p-1}{n-p+1} \binom{m}{p} = \frac{2(-1)^{m-1}}{(n+1) \binom{n}{m}}.$$

Proof. Let

$$\Lambda_{n,m} = \sum_{p=0}^m (-1)^p \frac{n-p-1}{n-p+1} \binom{m}{p} \quad \text{and} \quad L_{n,m} = \frac{2(-1)^{m-1}}{(n+1)\binom{n}{m}}.$$

From the reduction

$$L_{n,m} = L_{n,m-1} - L_{n-1,m-1},$$

proceed by induction on n and m . For the base relative to m :

$$\Lambda_{n,1} = \frac{n-1}{n+1} - \frac{n-2}{n} = \frac{2}{n(n+1)} = L_{n,1}.$$

Make the inductive step by verifying that $\Lambda_{n,m}$ admits the same reduction as $L_{n,m}$. Consider

$$\begin{aligned} \Lambda_{n,m-1} - \Lambda_{n-1,m-1} &= \sum_{p=0}^{m-1} (-1)^p \frac{n-p-1}{n-p+1} \binom{m-1}{p} \\ &\quad - \sum_{p=0}^{m-1} (-1)^p \frac{n-p-2}{n-p} \binom{m-1}{p}. \end{aligned}$$

Shearing the second sum by one term,

$$\begin{aligned} \Lambda_{n,m-1} - \Lambda_{n-1,m-1} &= \sum_{p=0}^{m-1} (-1)^p \frac{n-p-1}{n-p+1} \binom{m-1}{p} \\ &\quad - \sum_{p=1}^m (-1)^{p-1} \frac{n-(p-1)-2}{n-(p-1)} \binom{m-1}{p-1} \\ &= \frac{n-1}{n+1} + \sum_{p=1}^{m-1} (-1)^p \frac{n-p-1}{n-p+1} \binom{m}{p} \frac{m-p}{m} \\ &\quad - \sum_{p=1}^{m-1} (-1)^{p-1} \frac{n-p-1}{n-p+1} \binom{m}{p} \frac{p}{m} + (-1)^m \frac{n-m-1}{n-m+1} \\ &= \frac{n-1}{n+1} + \sum_{p=1}^{m-1} (-1)^p \frac{n-p-1}{n-p+1} \binom{m}{p} + (-1)^m \frac{n-m-1}{n-m+1} \\ &= \sum_{p=1}^m (-1)^p \frac{n-p-1}{n-p+1} \binom{m}{p} \\ &= \Lambda_{n,m}. \end{aligned}$$

□